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| TR | 1AS | SMITTAL LETTER TO THE | UNITED STATES | ATTORNEY DOCKET NUMBER | | | | | | | |
|---|-------------|---|--|--|-------------------------------|--|--|--|--|--|--|
| | DE | SIGNATED/ELECTED OFFI | CE (DO/EO/US) | AMTH-104US U.S. APPLICATION NO. (If knowp, see 37 CFR 1.5) | | | | | | | |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | | | | | | | |
| INTER | RNA | TIONAL APPLICATION NO. | INTERNATIONAL FILING DA | | PRIORITY DATE CLAIMED | | | | | | |
| TITLE | OF | PCT/GB2004/003066 | 14 July 2004 (14. | 07.2004) | 18 July 2003 (18.07.2003) | | | | | | |
| SURFACE PROFILING METHOD AND APPARATUS | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US MANSIELD, Daniel | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. [| \boxtimes | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| 2. [| | This is a SECOND or SUBSEQUENT s | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 3. [| \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application | as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | | b. has been communicated by | the International Bureau. | | | | | | | | |
| | | c. is not required, as the applica | ation was filed in the United St | ates Receiving Offic | æ (RO/US). | | | | | | |
| 6. [| | An English language translation of the | International Application as file | ed (35 U.S.C. 371(c) | (2)). | | | | | | |
| | | a. is attached hereto. | | • | | | | | | | |
| | | b. has been previously submitted | ed under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. | \boxtimes | Amendments to the claims of the Intern | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| | | d. Analysis and have not been made and will | I not be made. | | | | | | | | |
| 8. [| | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. [| | An oath or declaration of the inventor(s | s) (35 U.S.C. 371(c)(4)). | | } : | | | | | | |
| 10. [| | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| ŀ | tem | s 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. [| | An Information Disclosure Statement u | nder 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. [| | An assignment document for recording | g. A separate cover sheet in co | ompliance with 37 Cl | FR 3.28 and 3.31 is included. | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | | | |
| 14. [| | An Application Data Sheet under 37 Cl | FR 1.76. | | <u>:</u> | | | | | | |
| 15. [| | A substitute specification. | | | | | | | | | |
| 16. [| | A power of attorney and/or change of a | address letter. | | | | | | | | |
| 17. [| | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | |
| 18. [| | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |

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This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-200

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY DOCKET NUMBER TI BL ASSIGNED U 30 PCT/GB2004/003066 AMTH-104US 20. Other items or information: PTO-2038 Return Receipt Postcard The following fees have been submitted **CALCULATIONS** PTO USE ONLY \$ 300 Examination Fee (37 CFR 1.492(c)) 22. If the written opinion prepared by ISA/US or the international preliminary examination report prepared \$ 200 by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations......\$200 Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an \$ 400 International Search Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated by the US by the IB......\$400 All other situations.....\$500 TOTAL OF 21, 22 and 23 = \$ 900 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in . an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) -100 =/50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)) NUMBER FILED NUMBER EXTRA **CLAIMS** RATE **Total Claims** 19 - 20 =0 x \$50 \$0 0 ì Independent Claims 3 - 3 = x \$200 **\$ 0** MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 **TOTAL OF ABOVE CALCULATIONS =** \$0 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ SUBTOTAL = \$ 900 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 900 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 900 Amount to be \$ refunded: Amount to be \$ charged

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PTO-1390 (Rev. 02-2005)

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| Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
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| RatnerPrestia, P.O. Box 980, Valley Forge, PA 19482 | | | | | | | | | |
| RatnerPrestia, P.O. B | Box 1596, Wilmington, DE 19899 | 48,548 REGISTRATION NUMBER | | | | | | | |
| Date: January 18, 20 | 006 | | | | | | | | |
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|---|----------------------------------|-----------------------------|--|------------------------------|--|--|--|--|--|--|--|
| CERTIFICATE OF MAI Applicant(s): Daniel Mans | LING BY "EXPRESS MAI sfield | ." (37 CFR 1.10) 1 C | | /歩修歩030 AMTH-104US | | | | | | | |
| Serial No. | Filing Date | Examiner | | Group Art Unit | | | | | | | |
| To Be Assigned | Herewith | | | | | | | | | | |
| Invention: SURFACE PROFILING METHOD AND APPARATUS | | | | | | | | | | | |
| I hereby certify that the following correspondence: U.S. National Phase Application (with Form PTO-1390 and all of the documents indicated therein as being enclosed) | | | | | | | | | | | |
| Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 18, 2006 Kathleen Libby (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV 783163516 US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing. | | | | | | | | | | | |
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